



LPL Insured Cash Account (ICA): Current Priority Bank List Business Accounts

Effective December 24, 2018

ABOUT THE PRIORITY BANK LIST (PBL)

The Priority Bank List is a list of available Banks into which your funds may be deposited and is available from your financial advisor and on lplfinancial.lpl.com/disclosures. The Banks appear in columns by state or region. In the column under your applicable state or region are multiple Banks in the order in which your funds will be allocated. The last banks on the list are “Excess Banks” and are noted as such. For all other banks on the PBL except these Excess Banks, LPL Financial as your agent will ensure that your ICA sweep deposits do not exceed the \$250,000 (or \$500,000 for joint accounts) FDIC-defined ownership category limits. For the Excess Banks, your funds may be deposited without consideration of the \$250,000 and \$500,000 limits. However, this will only be done when there is insufficient capacity in other Banks on the PBL to take your assets and not break through the \$250,000 and \$500,000 limits and is meant to be temporary in nature.

You may not change the order of the Banks on the PBL. However, you may, at any time, designate a Bank as ineligible to receive your funds. This will result in your funds not being deposited into this bank or if already there, we will remove your funds from that Bank and designate the Bank as ineligible to receive future deposits. Unless you direct us to place your funds in a different investment, your funds from eliminated Banks will be deposited at the first available Bank set forth on the Priority Bank List, as amended by you. To make these amendments, you need to inform your financial advisor.

You should review the PBL carefully. If you already have funds at any Bank on the list, please notify your financial advisor to designate that Bank as ineligible as detailed above so that no additional funds are allocated through this program to that Bank, which should help to avoid deposits in that Bank exceeding your FDIC-defined ownership category insurance limits. You are responsible for monitoring the total amount of deposits that you have at a Bank to determine the amount of FDIC insurance you may have available to you.

On the Priority Bank List, Banks may be added, removed, or the order of the priority sequence may change. Please consult your financial advisor or LPL.com periodically throughout the month for recent updates and information regarding how these changes may impact your account.

If a Bank at which you have funds is no longer available through the ICA program, you may choose to establish a direct depository relationship with the Bank, subject to its rules with respect to establishing and maintaining deposit accounts. If you choose not to establish a direct depository relationship with the Bank, your funds will be transferred to the first available Bank set forth on the Priority Bank List.

LPL ICA PBL: BY STATE AND REGION AS OF DECEMBER 24, 2018

| | CA | TX | FL | IL | NY | PA |
|----|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|
| 1 | Citibank | Citibank | First Tennessee Bank | Citibank | First Tennessee Bank | Citibank |
| 2 | Wells Fargo Bank | SunTrust Bank | Bank of East Asia | SunTrust Bank | SunTrust Bank | Bank of East Asia |
| 3 | Bank of Baroda | Bank Hapoalim B.M. | Florida Community | Bank of East Asia | Florida Community | Bank Hapoalim B.M. |
| 4 | Florida Community | Bank of East Asia | Bank of Baroda | Florida Community | Bank of East Asia | Florida Community |
| 5 | Bank of NH | Florida Community | Bremer Bank | Bank of Baroda | Bank of Baroda | Century B&T |
| 6 | Bremer Bank | Bank of Baroda | Citibank | First Tennessee Bank | Century B&T | Mizuho Bank |
| 7 | Sterling National | First Tennessee Bank | SunTrust Bank | Bremer Bank | Citibank | First Tennessee Bank |
| 8 | Bank of East Asia | Wells Fargo Bank | Cadence Bank | Wells Fargo Bank | Wells Fargo Bank | SunTrust Bank |
| 9 | SunTrust Bank | Bank of NH | Wells Fargo Bank | Bank of NH | Sterling National | Wells Fargo Bank |
| 10 | Century B&T | Sterling National | Sterling National | Sterling National | Bremer Bank | Bank of Baroda |
| 11 | Cadence Bank | Bremer Bank | Bank of NH | Bank Hapoalim B.M. | Cadence Bank | Bremer Bank |
| 12 | NY Community Bank | Cadence Bank | Bank Hapoalim B.M. | Century B&T | Bank of NH | Sterling National |
| 13 | Mizuho Bank | Century B&T | Century B&T | Cadence Bank | NY Community Bank | Bank of NH |
| 14 | Bank Hapoalim B.M. | NY Community Bank | NY Community Bank | NY Community Bank | Bank Hapoalim B.M. | Cadence Bank |
| 15 | Atlantic Capital Bank | Mizuho Bank | Mizuho Bank | Mizuho Bank | Mizuho Bank | NY Community Bank |
| 16 | TriState Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank |
| | AXOS Bank | TriState Capital Bank | TriState Capital Bank | TriState Capital Bank | TriState Capital Bank | TriState Capital Bank |
| | Morton Community | AXOS Bank | AXOS Bank | AXOS Bank | AXOS Bank | AXOS Bank |
| | Excess Banks | | | | | |
| 17 | Wells Fargo Bank | Wells Fargo Bank | Wells Fargo Bank | Wells Fargo Bank | Wells Fargo Bank | Wells Fargo Bank |
| 18 | | | | | | |

LPL ICA PBL: BY STATE AND REGION AS OF DECEMBER 24, 2018

| | MA | CO | NJ | WA | AK, HI, ID, MT, OR, WY | AZ, NM, NV, UT |
|----|-----------------------|-----------------------|-----------------------|-----------------------|---------------------------|-----------------------|
| 1 | Bank of Baroda | Citibank | Bank Hapoalim B.M. | First Tennessee Bank | Citibank | First Tennessee Bank |
| 2 | Bank of East Asia | Bank of NH | Citibank | Citibank | Bank of NH | Century B&T |
| 3 | Florida Community | Florida Community | Bank of East Asia | Bank of East Asia | Mizuho Bank | Bank of NH |
| 4 | Century B&T | Bank Hapoalim B.M. | Florida Community | Mizuho Bank | Bank of East Asia | Bank of East Asia |
| 5 | Bank Hapoalim B.M. | Century B&T | Bank of Baroda | Bank of NH | First Tennessee Bank | SunTrust Bank |
| 6 | Bank of NH | Mizuho Bank | Century B&T | Bremer Bank | Florida Community | Florida Community |
| 7 | SunTrust Bank | Cadence Bank | Bremer Bank | Florida Community | Bremer Bank | Bremer Bank |
| 8 | Bremer Bank | First Tennessee Bank | First Tennessee Bank | Wells Fargo Bank | SunTrust Bank | Citibank |
| 9 | First Tennessee Bank | SunTrust Bank | Wells Fargo Bank | Cadence Bank | Wells Fargo Bank | Wells Fargo Bank |
| 10 | Citibank | Bremer Bank | Cadence Bank | Sterling National | Sterling National | Sterling National |
| 11 | Cadence Bank | Wells Fargo Bank | Sterling National | Bank of Baroda | Century B&T | Cadence Bank |
| 12 | Wells Fargo Bank | Bank of East Asia | SunTrust Bank | Century B&T | Cadence Bank | Bank of Baroda |
| 13 | Sterling National | Bank of Baroda | Bank of NH | SunTrust Bank | Bank Hapoalim B.M. | Bank Hapoalim B.M. |
| 14 | NY Community Bank | Sterling National | NY Community Bank | NY Community Bank | Bank of Baroda | NY Community Bank |
| 15 | Mizuho Bank | NY Community Bank | Mizuho Bank | Bank Hapoalim B.M. | NY Community Bank | Mizuho Bank |
| 16 | Atlantic Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank |
| | TriState Capital Bank | TriState Capital Bank | TriState Capital Bank | TriState Capital Bank | TriState Capital Bank | TriState Capital Bank |
| | AXOS Bank | AXOS Bank | AXOS Bank | AXOS Bank | AXOS Bank | AXOS Bank |
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| 17 | Wells Fargo Bank | Wells Fargo Bank | Wells Fargo Bank | Wells Fargo Bank | Wells Fargo Bank | Wells Fargo Bank |
| 18 | | | | | | |

LPL ICA PBL: BY STATE AND REGION AS OF DECEMBER 24, 2018

| | AR, KS, LA, MO, OK | IA, MN, ND, NE, SD, WI | IN, MI, OH | AL, GA, KY, MS, SC, TN | DC, DE, MD, NC, VA, WV | CT, ME, NH, RI, VT, Other |
|----|-----------------------|---------------------------|-----------------------|---------------------------|---------------------------|------------------------------|
| 1 | Citibank | First Tennessee Bank | Citibank | Citibank | Cadence Bank | First Tennessee Bank |
| 2 | Wells Fargo Bank | Bank of Baroda | Bank Hapoalim B.M. | Bremer Bank | Bank Hapoalim B.M. | Citibank |
| 3 | Bank of East Asia | Citibank | Bremer Bank | Bank of East Asia | Bank of East Asia | Bank of Baroda |
| 4 | Bank of NH | Florida Community | Cadence Bank | Florida Community | First Tennessee Bank | Bank of East Asia |
| 5 | Bremer Bank | Bank of East Asia | Century B&T | Bank of NH | Bank of Baroda | Bank of NH |
| 6 | Mizuho Bank | Century B&T | First Tennessee Bank | Cadence Bank | Citibank | Wells Fargo Bank |
| 7 | SunTrust Bank | Wells Fargo Bank | Bank of NH | Bank of Baroda | Bank of NH | Sterling National |
| 8 | Cadence Bank | Bank of NH | Sterling National | Sterling National | Wells Fargo Bank | Mizuho Bank |
| 9 | Florida Community | Cadence Bank | Wells Fargo Bank | SunTrust Bank | Sterling National | Florida Community |
| 10 | Sterling National | SunTrust Bank | Florida Community | Wells Fargo Bank | Florida Community | SunTrust Bank |
| 11 | Century B&T | Sterling National | Bank of East Asia | First Tennessee Bank | SunTrust Bank | Bremer Bank |
| 12 | Bank Hapoalim B.M. | NY Community Bank | Bank of Baroda | Bank Hapoalim B.M. | Bremer Bank | Century B&T |
| 13 | Bank of Baroda | Bank Hapoalim B.M. | NY Community Bank | Century B&T | Century B&T | Bank Hapoalim B.M. |
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| 16 | TriState Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank | Atlantic Capital Bank |
| | AXOS Bank | TriState Capital Bank | TriState Capital Bank | TriState Capital Bank | TriState Capital Bank | TriState Capital Bank |
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| | Excess Banks | | | | | |
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| 18 | | | | | | |

This material has been prepared by LPL Financial LLC.

To the extent you are receiving investment advice from a separately registered independent investment advisor, please note that LPL Financial LLC is not an affiliate of and makes no representation with respect to such entity.

Not FDIC or NCUA/NCUSIF Insured | No Bank or Credit Union Guarantee | May Lose Value
Not Guaranteed by Any Government Agency | Not a Bank/Credit Union Deposit

